

1131
IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Scott David D'Souza

Serial No. 10/659,341

Filed: September 11, 2003

Group Art Unit 2131

For: DOS Attack Mitigation Using Upstream Router Suggested Remedies

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application;
or before mailing date of first Office action on the merits; whichever occurs last.)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Certification under 37 CFR 1.97(c), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance,
whichever occurs first.)
- ☐ under 37 CFR 1.97(d) together with either a:
☐ Certification under 37 CFR 1.97(c), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR §117(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before
payment of the issue fee.)

Applicant(s) submits herewith Form PTO 1449-Information Disclosure Citation together with copies
of patents, publications or other information of which applicant(s) is aware, which applicant(s) believe(s) may
be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which applicant(s) is aware.

Applicant(s) submits that the above references taken alone or in combination neither anticipate nor
render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully
requested.

Respectfully submitted,

Jim Zegeer, Reg. No. 18,957
Attorney for Applicant(s)

Attachments:

Form PTO-1449 and cited references

Suite 108
801 North Pitt Street
Alexandria, VA 22314
Telephone: 703-684-8333
Date: September 16, 2004

In the event this paper is deemed not timely filed,
the applicant hereby petitions for an appropriate
extension of time. The fee for this extension may
be charged to Deposit Account No. 26-0090 along
with any other additional fees which may be
required with respect to this paper.

FORM PTO-1449
(MODIFIED)U.S. Department of Commerce
Patent and Trademark OfficeATTY. DOCKET NO.
3449-Z

SERIAL NO.

10/659,341**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

Scott David D'Souza

FILING DATE

September 11, 2003

GROUP

2131**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Mahajan, Ratul et al, "Controlling High Bandwidth Aggregates in the Network", AT&T Center for Internet Research at ICSI (ICIRI) and AT&T Labs Research, July 13, 2001 (12 pages)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.